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# Performance gains provided by the use of a counter-rotating axial-flow fan with respect to a conventional rotor-stator stage

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**Abstract:** The adoption of counter-rotating stages for propellers, axial-flow pumps and low-speed fans has opened a way to design high performance and compact turbomachines in various industrial domains, leading to potentially high savings in energy consumption. Because of the reduction of rotational speed and a better homogenization of the flow downstream of the rear rotor, these machines may have very good aerodynamic performances. However, they are rarely used in subsonic applications, mainly due to poor knowledge of the aerodynamics in the mixing area between the two rotors, where very complex structures are produced by the interaction of highly unsteady flows. The purpose of the present work is to compare the global performances (static pressure rise and static efficiency) and the wall pressure fluctuations downstream of the first rotor for three different stages operating at the same point: a single subsonic axial-flow fan, a conventional rotor-stator stage and a counter-rotating stage that have been designed with in-house tools. The counter-rotating stage allows large savings of energy with respect to the other two systems, for lower rotation rates and by adjusting the distance between the two rotors, a solution with comparable wall pressure fluctuations levels for the three systems is found.

**Keywords:** Axial-flow fan, Counter-Rotating fan, Rotor-Stator interaction, Pressure fluctuations

## 1. Introduction

Nowadays, a revival of industrial interest for counter-rotating axial machines can be observed for various applications in subsonic regimes, as for instance fans and pumps, operating in ducted or free-flow configurations [1-8].

Counter-rotating axial-flow fans for electronic devices cooling application are for instance developed by SANYO DENKI (manufacturer of fans) with various diameters. These products have the advantages of large air volume, high static pressure while lower noise and power consumption, compared to 2 conventional fans used in series [7]. For the same type of industrial application, Shigemitsu et al. [9] have shown with numerical studies that counter-rotating

axial small-size fans provided higher pressure and efficiency than one single rotor. However, detailed experiments and analysis are still demanded to reveal the physical mechanisms that improve their efficiency compared to the conventional facilities. The general idea of a counter-rotating stage is that two rotors (front and rear) are rotating in opposite directions. The energy in the tangential velocity component of the flow after the first rotor is usually wasted in the wake [10]. At the inlet of the rear rotor of a counter-rotating fan stage, this tangential velocity contributes to higher relative velocity, then it diffuses in the second rotor and is moreover converted to static pressure rise. Compared to a conventional rotor-stator stage, the rear rotor not only recovers the static head but also supplies energy to the fluid.

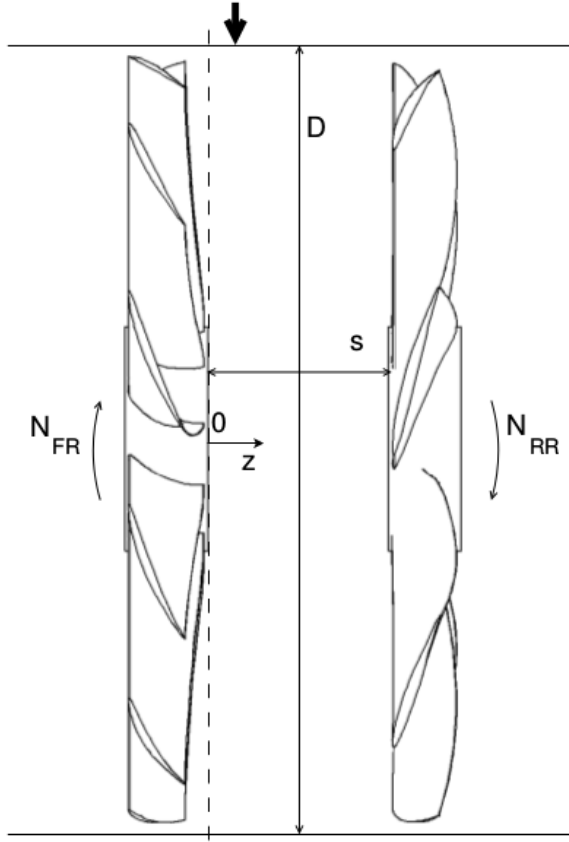


Fig. 1 Sketch of the CRS arrangement.

Given all the advantages indicated above, the counter-rotating stage attracts attention of a large number of researchers. An original method to design such a stage has been developed in the DynFluid Laboratory and has been validated on a first prototype called "CRS" [11]. In this experiment, the rotors operate in a duct of diameter  $D = 380$  mm, the ratio of the rotation rates of the two rotors can be varied, as well as the axial distance between the front rotor (FR) and the rear rotor (RR), see Fig. 1. The angular velocity ratio is  $\theta = N_{RR}/N_{FR}$  and the axial distance is  $S$ .

The main results of this study [11] are the following:

- The maximum of the peak static efficiency of CRS is  $67 \pm 1\%$  whilst the peak static efficiency of the front rotor alone is  $45 \pm 1\%$ ;
- At the design angular velocity ratio  $\theta = 0.9$  the overall performances are not significantly

affected by a variation of the axial distance in the range  $S \in [10; 50]$  mm, with  $s$  the distance between the trailing edge of the front rotor and the leading edge of the rear rotor;

- However, at  $\theta = 0.9$ , the pressure rise is decreased by 5% and the efficiency decreases from  $65 \pm 1\%$  to  $63 \pm 1\%$  when  $s$  is increased from 10 to 180 mm;
- At small axial distances ( $S < 50$  mm), the analysis of the power spectral density for wall pressure fluctuations and of the radial profiles of the average velocity confirm that the rear rotor does significantly affect the flow field in the interaction area.

The main objective of the present study is to experimentally detail the differences between the Counter-Rotating Stage CRS, a conventional Single Rotor Stage (R1) and a conventional Rotor-Stator stage (RSS). To achieve this target, a first series of experiments are carried out on a single axial-flow fan (R1). Then a stator is designed to fit with this rotor to form RSS and finally, the second counter-rotating rotor is used to form the counter-rotating stage (CRS). The experimental set-up is first briefly described. Then, the overall performances of the three systems are compared. In order to compare the levels and spectra of the wall pressure fluctuations, a seek for operating conditions of the three systems that lead to the same given output aerodynamic power is then performed. Finally, the effects of the axial distance  $s$  for RSS and CRS both on the global performances and on the pressure fluctuations levels and spectra are studied.

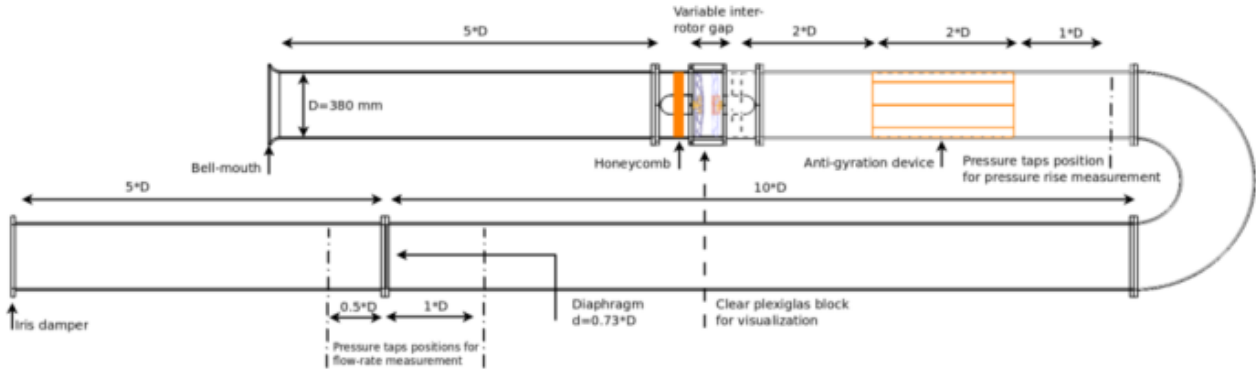


Fig. 2 Experimental facility AERO<sup>2</sup>FANS.

## 2. Experimental set-up and measurement method

The experimental investigations of the three configurations (R1, RSS and CRS) are performed on a normalized experimental test-bench: AERO<sup>2</sup>FANS, built for this purpose in the DynFluid Laboratory (see Fig. 2).

The test rig has been built according to the ISO-5801 standard. First, the air comes into the test pipe of diameter  $D = 380$  mm through a bell mouth, then passes through the driving motor of the Front Rotor, and is homogenized by a honeycomb. Next, energy is transferred to the fluid by the two rotors. They are separated by a series of blocks for the purpose of varying the axial distance  $S$  between them (see Fig. 1 for a definition of  $S$ ). Then, the out-flow passes the driving motor of the Rear Rotor and an anti-gyration device to remove the rotational component of the flow before the measurement of the static pressure by 4 pressure taps. After that, the fluid goes through an ISO-5167 orifice plate in order to measure the volume flow rate. Finally, the fluid is regulated by an axial blower and an iris damper before being discharged into the ambient atmosphere.

In the present paper, the static pressure rise  $\Delta P_s$  is defined according to the ISO-5801 standard as the difference between the static pressure downstream of the studied machine and the total pressure at the inlet (atmospheric pressure). This value is obtained by averaging the results of four pressure taps placed

downstream of a flow straightener, then corrected with the pressure drop of the circuit that is measured without the rotors. The static efficiency is defined as:

$$\eta_s = (\Delta P_s Q) / (\tau_{FR} \omega_{FR} + \tau_{RR} \omega_{RR})$$

The torque  $\tau$  is measured by the drivers of the DC brushless motors and has been calibrated against a rotating torque-meter.

The uncertainty of the derived quantities has been estimated using the accuracy of the measuring devices, 10 repetitions of the measurements at the design point, and standard error propagation rules. The uncertainty of the volume flow-rate  $Q$  is  $\pm 0.4\%$ , that of the static pressure rise  $\Delta P_s$  is  $\pm 4$  Pa, ( $\pm 1\%$  for the CRS at the design flow rate), and the uncertainty of the static efficiency  $\eta_s$  is  $\pm 2\%$ .

The design points of R1 and of CRS are respectively a total pressure rise of 260 Pa and 420 Pa at a nominal volumetric flow rate  $Q = 1 \text{ m}^3 \text{ s}^{-1}$  and for rotation rates  $N_{FR} = 2000 \text{ rpm}$  and  $\theta = 0.9$ . More details about those two configurations are given in the Ref. [11]. The casing wall pressure fluctuations are recorded by a 40BP pressure microphone, which has been calibrated by an acoustic calibrator. The sampling frequency for the signal of the wall pressure fluctuations is 6 kHz. The power spectral density and the total average power of the pressure fluctuations are expressed in  $\text{dB Hz}^{-1}$  and dB with a pressure reference of 1 Pa. The axial distance between the two rotors  $S$  and the position of the microphone  $z = 5 \text{ mm}$  are defined in Fig. 1, where the bold arrow stands for the microphone position.

### 3. Results and discussion

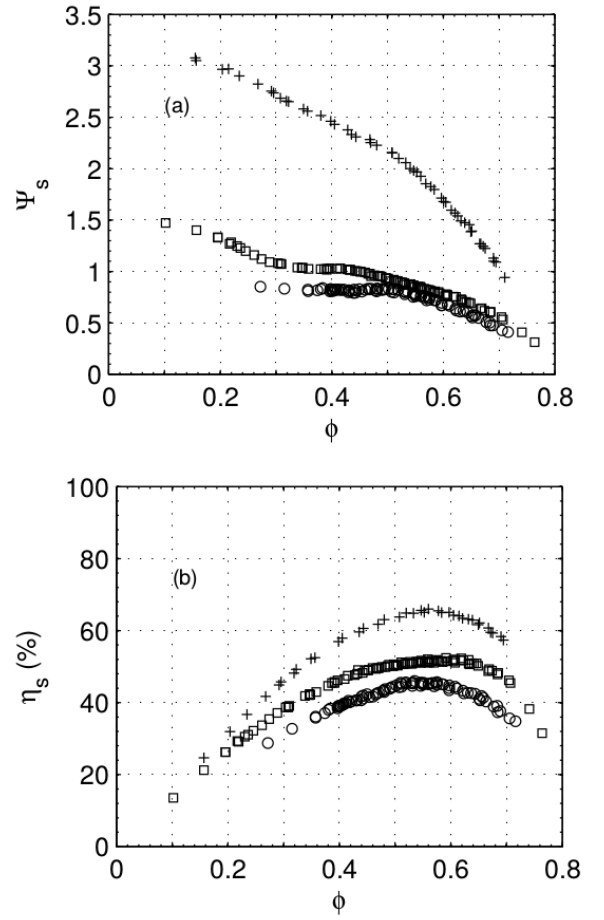
#### 3.1 Comparison of the overall performances of R1, RSS and CRS

To compare the overall performance of the three configurations (R1, RSS, CRS), some working conditions are first set: the axial distance for RSS is  $S = 15$  mm and for CRS it is  $S = 10$  mm, which corresponds to 17% of the chord of FR at mid-span. In the following, the  $\theta$  ratio of CRS is always set to  $\theta = 1$  and the symbol N thus stands for the rotation rate of (all) the rotor(s) for R1, RSS and CRS. The static head coefficient is  $\Psi_s = \Delta P_s / \rho (N/60)^{-2} D^{-2}$ , and the volumetric flow coefficient is  $\phi = Q (N/60)^{-1} D^{-3}$ .

The static head coefficient  $\Psi_s$  and the static efficiency  $\eta_s$  as a function of  $\phi$  are plotted in Fig. 3. The data reported in this figure have been obtained at various rotation rates: respectively  $N = 2100$  and  $2300$  rpm for R1,  $N = 2000$ ,  $2100$  and  $2200$  rpm for RSS, and  $N = 1600$  and  $1800$  rpm for CRS.

The Reynolds numbers based on the relative inlet velocity and on the chord at mid-span are all greater than  $2.4 \times 10^5$  [11]. The different curves fairly collapse for each system: the dimensionless coefficients do not depend on the Reynolds number, which is a classical result for developed turbulent flows [12].

It can be moreover observed that the slope of the  $\Psi_s$  vs.  $\phi$  curve is steeper for CRS than for R1 and RSS, as noticed by Shigemitsu et al. [2]: this feature can be explained close to the nominal volumetric flow rate with a theory based on the energy and angular momentum balances for perfect fluid (Euler's equation of turbomachinery). The present results moreover show that, contrary to what is observed for the single rotor R1 or the conventional rotor-stator stage RSS, the characteristic curve of CRS has a large negative slope even at very low volumetric flow rates which corresponds to a very good operating stability. The maximum efficiency for R1 is  $45 \pm 1\%$ , while it is  $51 \pm 1\%$  for RSS and  $66 \pm 1\%$  for CRS.



**Fig. 3 Dimensionless characteristics of the three systems R1 (○), RSS (□) at  $S = 15$  mm and CRS (+) at  $S = 10$  mm and  $\theta = 1$ . (a): Static head coefficient  $\Psi_s$  vs. volumetric flow rate coefficient  $\phi$ . (b): Static efficiency  $\eta_s$  vs. flow coefficient  $\phi$ .**

The gain in peak-efficiency brought by the use of the stator is approximately +6 percentage-points with respect to R1, which is a classical value according to Moreau and Bakir [13]. The gain in efficiency brought by the use of a counter-rotating rotor—roughly +21 percentage-points—is thus much higher.

#### 3.2 Comparison of the systems when delivering the same given output aerodynamic power

##### 3.2.1 Overall performances

In view of comparing both the global performances and the wall pressure fluctuations for the different

systems R1, RSS and CRS in a dimensional point of view, three working conditions are now studied. The rotation rates of the three systems are adjusted such that the same aerodynamic output power is obtained. In other words, a seek for points such that  $\Delta P_s Q = \text{constant}$  has been performed.

The flow conditions and the corresponding operating conditions for each system are given in Tab. 1 for those three “crosspoints”.

The crosspoint 1 corresponds to an operating point where CRS is working at a nominal flow rate, the crosspoint 2 corresponds to RSS working at a nominal flow rate and the crosspoint 3 to R1 at a nominal point. It is obvious that to reach the same pressure rise at the same volumetric flow rate, CRS always requires much lower rotational velocity compared to R1 and RSS: in the worst case (crosspoint 3), the rotation rate of CRS is respectively 78% and 82% of that of R1 and RSS. In addition, the static efficiency of CRS is 16 percentage-points higher than that of R1 and 10 percentage-points higher than that of RSS in the worst case (crosspoint 3 corresponding to an overflow rate of 114% for CRS). Besides, at the three crosspoints, the mechanical power consumed by CRS is respectively 40%, 32% and 26% lower than that of R1. In another way, it could be concluded that CRS could provide higher pressure rise and air volumetric flow rate at a given power consumption, consequently allowing a decrease of the fan diameter and of the

rotational speed.

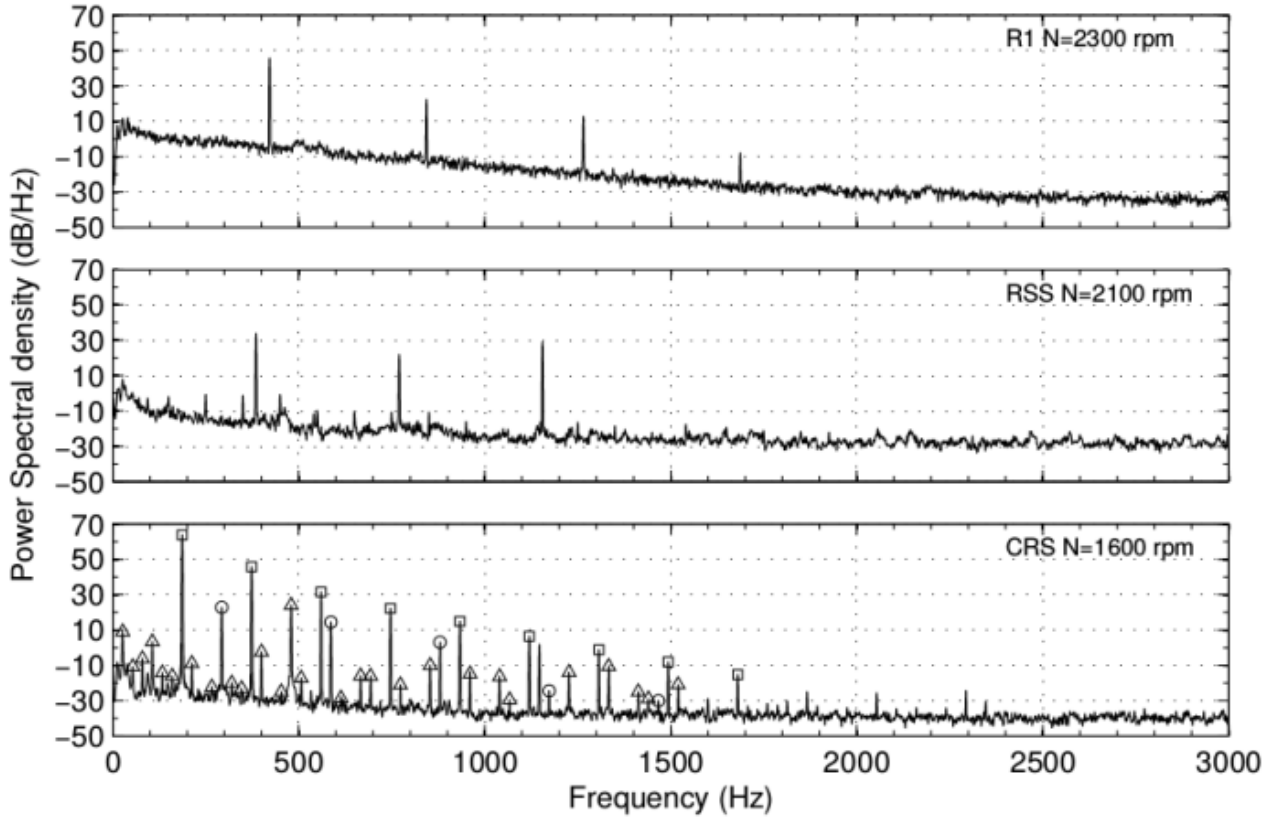
### 3.2.2 Comparison of wall pressure fluctuations at crosspoint 1 and small axial distances

The figure 4 shows the power spectral density of the wall pressure fluctuations measured at the same operating point (crosspoint 1 in Tab. 1) for the three configurations and for the same  $S$  as in the previous paragraph. These power spectra are characterized by a broadband noise superimposed to a series of discrete frequency peaks. It is obvious that the spectrum for CRS presents much more peaks.

These peaks can be classified into three categories: front rotor blade passing frequency  $f_{FR}$  and its harmonics (o), rear rotor blade passing frequency  $f_{RR}$  and its harmonics ( $\square$ ) and the frequencies resulting from the interactions between the two aforementioned modes ( $\Delta$ ), that consist of linear combinations  $m f_{FR} + n f_{RR}$  where  $m, n \in \mathbb{Z}^*$ . Results observed by Nouri et al. [11] on the same facility at a different  $\theta$  are in accordance with this theory for the CRS tonal noise. The additional peaks for CRS are due to the potential influence of the rear rotor on the front one, and to the influence of the front rotor on the rear rotor: both vortex shedding and viscous wakes impact the second rotor when the axial distance is small [14].

**Table 1 Operating conditions for the three systems delivering the same aerodynamical power.**

Crosspoint	R1	RSS	CRS
#1	N=2300 rpm	N=2100 rpm	N=1600 rpm
$Q = 0.87 \text{ m}^3 \text{ s}^{-1}$	$\eta_s = 40\%$	$\eta_s = 49\%$	$\eta_s = 66\%$
$\Delta P_s = 212 \text{ Pa}$	$P = 461 \text{ W}$	$P = 375 \text{ W}$	$P = 279 \text{ W}$
#2	N=2100 rpm	N=2000 rpm	N=1600 rpm
$Q = 0.95 \text{ m}^3 \text{ s}^{-1}$	$\eta_s = 44\%$	$\eta_s = 51\%$	$\eta_s = 66\%$
$\Delta P_s = 177 \text{ Pa}$	$P = 380 \text{ W}$	$P = 328 \text{ W}$	$P = 256 \text{ W}$
#2	N=2300 rpm	N=2200 rpm	N=1800 rpm
$Q = 1.08 \text{ m}^3 \text{ s}^{-1}$	$\eta_s = 45\%$	$\eta_s = 51\%$	$\eta_s = 61\%$
$\Delta P_s = 209 \text{ Pa}$	$P = 500 \text{ W}$	$P = 443 \text{ W}$	$P = 369 \text{ W}$



**Fig. 4 Power spectral density of the wall pressure fluctuations under crosspoint 1 conditions (see Tab. 1).**

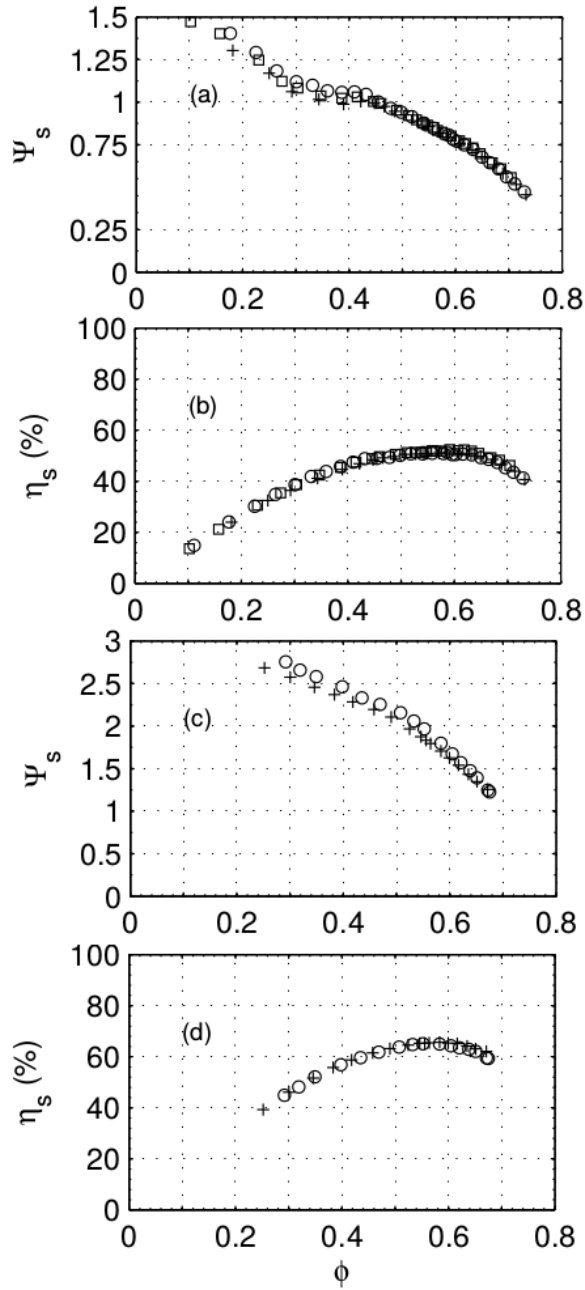
The tonal peaks corresponding to FR for CRS are lower than those for the R1 and RSS systems which is consistent with its lower rotation rate. However, the tonal peaks corresponding to RR are on the one hand larger than that of FR, which is consistent with the higher loading of RR's blades [11] and in addition are even about twice as large as the FR peaks of R1 and RSS. Ultimately, the total level of the wall pressure fluctuations, i.e. the average power, is respectively  $26.9 \pm 0.2$  dB,  $30.8 \pm 0.2$  dB and  $37.4 \pm 0.2$  dB for RSS, R1 and CRS. As the wall pressure fluctuations may be in close relation to the acoustic sources [15], CRS at  $S = 10$  mm may thus be much noisier than RSS for the same aerodynamic output power.

### 3.3 Influence of the axial distance $S$ on RSS and CRS.

The figure 5 presents the influence of the axial distance  $S$  on the performances of RSS and CRS. The performances of RSS for  $S = 5, 15$  and  $55$  mm are plotted in Fig. 5(a-b) and that of CRS at  $\theta = 1$  for  $S =$

$10$  and  $50$  mm are plotted in Fig. 5(c-d). For both systems, the pressure rise is unaffected at nominal and overflow rates and slightly decreases with increasing  $s$  at partial flow rates. As explained by van Zante et al. [16], viscous loss effects in the wake modify inlet angles for the second rotor and then less energy is recovered by the second —stationary or rotating— blade cascade for increased axial distance. Concerning the static efficiency, the small differences that can be observed are within the measurement uncertainty. This infers that the axial distance  $S$  does not have obvious influence on the global performances of RSS and CRS, in the studied range of axial distances that corresponds to  $9\% \leq S \leq 95\%$  (in percentage of the chord of FR at mid-span), contrary to what has been observed for a high pressure ratio compressor [17].

The figure 6 presents the influence of the axial distance  $S$  on the wall pressure fluctuations downstream of FR for RSS and CRS. Concerning RSS, the increase of axial distance from  $S = 15$  to  $S = 55$  mm only leads to slight qualitative



**Fig. 5 Influence of the axial distance on the performances.**  
 (a-b): RSS, (o):  $S = 5$  mm, ( $\square$ ):  $S = 15$  mm and  
 (+):  $S = 55$  mm. (c-d): CRS, (o):  $S = 10$  mm and  
 (+):  $S = 50$  mm.

modifications of the spectrum with a low attenuation of the fourth harmonic. The total average power of the

signal decreases from  $28.6 \pm 0.2$  to  $27.8 \pm 0.2$  dB. On the opposite, one can observe a huge effect of the increase in axial distance on the spectral content for CRS. First of all, the peaks corresponding to the RR are strongly attenuated and only the fundamental ( $f_{RR}$ ) and the second harmonic ( $2f_{RR}$ ) are present for  $S = 50$  mm while up to 10 harmonics are visible for  $S = 10$  mm. Similarly, the interaction peaks are considerably weakened. On the other hand, the peaks corresponding to FR remain unchanged. Ultimately, the total average power is lowered from  $42.0 \pm 0.2$  to  $30.3 \pm 0.2$  dB. It can be concluded that increasing the axial distance would have more influence on CRS than on RSS in terms of wall pressure fluctuations and thus, the axial distance would be an efficient optimization parameter regarding the noise reduction of low-speed counter-rotating axial-flow fans.



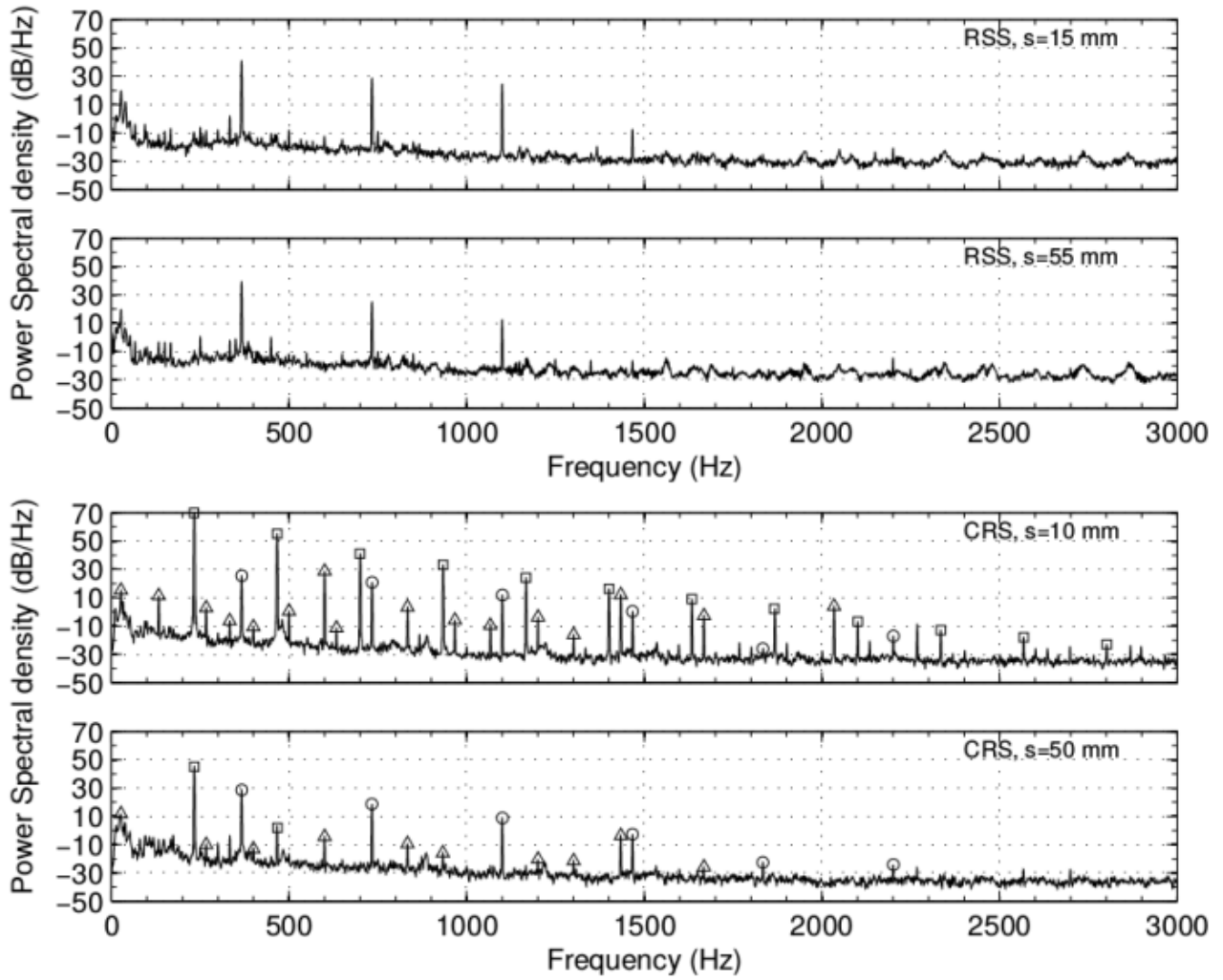


Fig. 6 Power spectral density of the wall pressure fluctuations for RSS and CRS rotating at  $N = 2000$  rpm for various axial distances.

#### 4. Conclusions

Experimental investigations of the differences in terms of overall performances and wall pressure fluctuations between a single rotor, a conventional rotor-stator stage and a counter-rotating stage have been performed. The results can be summarized as follows:

1. The characteristic curve of the counter-rotating stage is significantly steeper than that of the single rotor and of the conventional rotor-stator stage and is still significantly negative at very low partial flow rates. This improves the operating stability compared to the conventional configurations.

2. At a given power consumption, the counter-rotating stage could produce a much larger pressure rise and efficiency, with a lower rotation rate. The gains in efficiency and in rotation rate with respect to the rotor-stator stage are at least of the order of +10 percentage-points and -20% respectively.

3. The study of the wall pressure fluctuations for a small axial distance between the two rotors shows that for the same output aerodynamic power, though CRS is rotating more slowly, it may still be much noisier than R1 and RSS.

4. A slight increase in the axial distance could nonetheless be a very efficient way to cope with this problem, as the overall performances are hardly affected but the average power of wall pressure fluctuations is strongly reduced.

The future works that are now undertaken are first, to design different counter-rotating stages that all have the same design point and differ in the repartition of the load between the two rotors, and on the radial distribution of the Euler work on the first rotor, and also to build a small-scale CRS in order to study its far-field acoustic radiation under anechoic conditions.

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